

## The Charles Schwab Corporation Recent Client Trading Activity Report

	<u>10/08-10/12</u>	<u>10/15-10/19</u>	<u>10/22-10/26</u>	<u>10/29-11/02</u>	<u>11/05-11/09</u>	<u>11/12-11/16</u>	<u>11/19-11/23</u>	<u>11/26-11/30</u>	<u>12/03-12/07</u>	<u>12/10-12/14</u>	<u>12/17-12/21</u>	<u>12/24-12/28</u>	<u>12/31-01/04</u>
<b>Trading Days</b>	5	5	5	5	5	5	3.5	5	4	5	5	3.5	4
<b>Clients' Daily Average Trades</b>													
Revenue trades <sup>(1)</sup>	485,167	393,422	477,383	452,070	391,441	408,994	429,165	380,575	495,713	413,763	581,302	650,981	464,376
Asset-based trades <sup>(2)</sup>	182,628	119,719	167,208	176,328	167,334	289,170	174,725	175,645	195,875	170,536	243,267	251,343	166,097
Other trades <sup>(3)</sup>	211,478	171,749	215,084	169,856	155,821	155,016	207,322	154,307	225,530	196,487	436,082	308,044	217,238
<b>Total</b>	879,273	684,890	859,675	798,254	714,596	853,181	811,212	710,528	917,118	780,786	1,260,651	1,210,367	847,710

Notes: These weekly averages are updated after 1:00 pm Pacific time on the second business day of the following week. Numbers are preliminary and exclude syndicate trades. Data may be updated based on additional information.

<sup>(1)</sup> Includes all client trades that generate either commission revenue or revenue from principal markups (i.e., fixed income).

<sup>(2)</sup> Includes all eligible trades executed by clients who participate in one or more of the Company's asset-based pricing relationships.

<sup>(3)</sup> Includes all commission free trades, including Schwab Mutual Fund OneSource® funds and ETFs, and other proprietary products.