

## The Charles Schwab Corporation Recent Client Trading Activity Report

	<u>10/09-10/13</u>	<u>10/16-10/20</u>	<u>10/23-10/27</u>	<u>10/30-11/03</u>	<u>11/06-11/10</u>	<u>11/13-11/17</u>	<u>11/20-11/24</u>	<u>11/27-12/01</u>	<u>12/04-12/08</u>	<u>12/11-12/15</u>	<u>12/18-12/22</u>	<u>12/25-12/29</u>	<u>01/01-01/05</u>
<b>Trading Days</b>	5	5	5	5	5	5	3.5	5	5	5	5	4	4
<b>Clients' Daily Average Trades</b>													
Revenue trades <sup>(1)</sup>	307,027	313,455	357,351	353,986	353,993	332,813	321,836	393,578	348,277	336,684	367,805	319,522	477,126
Asset-based trades <sup>(2)</sup>	110,070	95,330	117,961	171,613	125,459	121,285	95,297	113,082	106,058	122,854	123,389	144,555	137,938
Other trades <sup>(3)</sup>	125,012	148,706	141,615	154,813	160,392	140,353	164,585	150,543	167,263	167,663	208,592	222,726	251,860
<b>Total</b>	542,108	557,491	616,927	680,412	639,845	594,451	581,718	657,203	621,598	627,201	699,786	684,803	866,924

Notes: These weekly averages are updated after 1:00 pm Pacific time on the second business day of the following week. Numbers are preliminary and exclude syndicate trades. Data may be updated based on additional information.

<sup>(1)</sup> Includes all client trades that generate either commission revenue or revenue from principal markups (i.e., fixed income).

<sup>(2)</sup> Includes all eligible trades executed by clients who participate in one or more of the Company's asset-based pricing relationships.

<sup>(3)</sup> Includes all commission free trades, including Schwab Mutual Fund OneSource® funds and ETFs, and other proprietary products.